# HSV compliance framework

A part of HSV's legislative function under the *Health Services Act 1988 (Vic)* is to monitor health service compliance with the HSV Purchasing Policies (HSV PPs). We do this by assessing the various reports health services must submit to us.

If we identify areas of non-compliance, we use the HSV compliance framework (the framework) to assess the issue(s) and to determine next steps.

The framework is a set of structured guidelines and practices that:

* encourages low level intervention for compliance issues
* considers a health service’s capacity to comply
* considers a health service’s behaviour and compliance history
* promotes actions in proportion with the assessed level of risk
* provides flexibility to escalate or de-escalate issues as required
* promotes evidence-based decision making.

The framework has three pillars, including:

1. Support and prevention
2. Compliance monitoring
3. Compliance priorities

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| HSV compliance framework |
| **Support and prevention** | **Compliance monitoring** | **Compliance priorities** |
| * Ongoing support and guidance and probity training.
* Guidance and selective intervention for high risk/high value procurement.
* Submissions for the Procurement Activity Plan to inform our annual sourcing program.
 | * Compliance audits.
* Annual compliance self-assessment.
* Attestation to HSV Purchasing Policies.
* Ongoing obligation to report high risk non-compliance.
* Submission of on-selling registers.
 | * Targeted initiatives to address patterns of non-compliance and integrated application of regulatory methods, tools and approaches.
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| **Graduated compliance** |
| **Foundation: *Health Services Act 1988 (Vic)* and the HSV Health Purchasing Policies** |